

#14
Please type a plus sign (+) inside this box

+

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

Application Number	09/003,000
Filing Date	January 4, 2001
First Named Inventor	David M. Siefert
Group Art Unit	3712
Examiner Name	Sam Rimell
Attorney Docket Number	6118.02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
sl	✓	"Harvest User's Manual" by Darren R. Hardy & Michael F. Schwartz, Version 1.0 October 1994. Last revised on November 3, 1994.
	✓	"Essence: A Resource Discovery System Based on Semantic File Indexing" by Darren R. Hardy & Michael F. Schwartz - University of Colorado, Boulder. 1993 Winter USENIX - Jan. 25-29, 1993 - San Diego, CA pgs 361-374.
	✓	"Harvest Effective Use of Internet Information," The Harvest Information Discovery and Access System.
	✓	"The Harvest Information Discovery and Access System" by C. Mic Bowman, Peter B. Danzig, Darren R. Hardy, Ndi Manber & Michael F. Schwartz.
	✓	Technical Discussion of the Harvest System.
	✓	Harvest Demonstration Brokers
	✓	Harvest User's Manual
	✓	Instructions for the Harvest distribution: Version 1.3
	✓	Frequently Asked Questions (and Answers) about Harvest Version 1.4 patchlevel 2. 13 pages
	✓	"Customized Information Extraction as A Basis for Resource Discovery," by Darren R. Hardy and Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder.
	✓	"Debugging Autonomously Managed Distributed Systems & The Harvest Cache and Directory Replicator," by Peter B. Danzig Computer Science Department, University of Southern California, November 2, 1994.

Examiner
Signature

Sam Rimell

Date
Considered

6/12/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

+

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 4

Complete if Known

Application Number 09/003,000
 Filing Date January 4, 2001
 First Named Inventor David M. Siefert
 Group Art Unit 3712
 Examiner Name Sam Rimell
 Attorney Docket Number 6118.02

RECEIVED
 SEP 28 2001
 Technology Center 2100

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SA	✓	Harvest: A Scalable, Customizable Discovery and Access System, IRTF-RD: Mic Bowman (co-PI, Transarc), Pater Danizig (co-PI, USC), Darren Hardy (PRA, U Colorado), Udi Manber (co-PI, U Arizona), Mike Schwartz (PI, U Colorado).	
	✓	"Internet Resource Discovery Services," by Katia Obraczka, Peter B. Danzig and Shih-Hao Li, University of Southern California, 8153 Computer, 26 (1993) September, No. 9, Los Alamitos, CA, US.	
	✓	"The Internet Gopher: A Distributed Server Information System," by Mark Cahill, University of Minnesota.	
	✓	"The Internet Gopher Protocol a distributed document search and retrieval protocol" Network Working Group, Request for Comments: 1436. 15 pages.	
	✓	Exploring the Power of the Internet Gopher, UIUCnet V6-1, January 1993.	
	✓	"The Whole Internet" User's Guide & Catalog, Second Edition, by Ed Krol 384.648, 161 Kr 1994.	
	✓	Internet Passport, Northwestnet's Guide To Our World Online, March 1993, Fourth Edition.	
	✓	"archie - An Electronic Directory Service for the Internet," by Alan Emtage, McGill University, Montreal, Canada, Peter Deutsch, McGill University, Montreal, Canada, USENIX - Winter '92.	
	✓	"Distributed Indexing A Scalable Mechanism for Distributed Information Retrieval," by Peter B. Danzig, Jongsuk Ahn, John Noll, Katia Obraczka, Computer Science Department, University of Southern California, Los Angeles, California. ACM SIGIR Conference 1991, pgs 220-229.	
	✓	"Internet Resource Discovery at the University of Colorado," by Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder Colorado, October 1992.	
	✓	"Research Problems for Scalable Internet Resource Discovery," by C. Mic Bowman, Peter B. Danzig, Michael F. Schwartz, University of Colorado at Boulder, Technical Report CU-CS-643-93, March 1993.	

RECEIVED
 SEP 28 2001
 STDO
 ROOM

Examiner Signature		Date Considered	6/12/02
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

+

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 4

Complete if Known

Application Number	09/003,000
Filing Date	January 4, 2001
First Named Inventor	David M. Siefert
Group Art Unit	3712
Examiner Name	Sam Rimell
Attorney Docket Number	6118.02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
✓	✓	"Fields of Files," by Ruth Halpern, LAN Technology, September 1991, Volume 7, Number 9, pgs 48-62.
✓	✓	"Test Drive - Inside the Document Den," by Ruth Halpern, Lan Magazine, December 1992, Volume 7, Number 12, pgs 170-178.
✓	✓	Automating Document Management, Office Administration and Automation, Vol. XLVI, No. 4, April 1985, pgs 51-86.
✓	✓	"A Network Under Control," by Ed Perratore, December 17, 1991, PC Magazine, pgs 287-330.
✓	✓	"Evolution of the Internet Gopher," by Mark P. McCahill & Farhad X.Anklesaria, J.UCS, Volume 1, Issue 4.
✓	✓	Road Map to A/UX, Release 3.0.
✓	✓	Apple Inside Macintosh Volume III
✓	✓	Brady "Inside the Apple Macintosh," by Jim Held & Pater Norton.
✓	✓	Macintosh Bible, second edition, edited by Arthur Naiman.
✓	✓	Claris for Macintosh, ClarisWorks User's Guide.
✓	✓	Guide to Macintosh System 7.5, Don Crabb.

Examiner
Signature

Sam Rimell

Date
Considered

6/14/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

+

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

4

of

4

Complete if Known

Application Number	09/003,000
Filing Date	January 4, 2001
First Named Inventor	David M. Siefert
Group Art Unit	3712
Examiner Name	Sam Rimell
Attorney Docket Number	6118.02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
sl		Macintosh User's Guide for desktop Macintosh computers, Part I-IV.	
	✓	"The System 7 Book", by Craig Danuloff, Second Edition for 7.0 & 7.1.	
	✓	"Desktop Design Series," the Ventana Press.	
	✓	An Overview of A/UX Release 3.0, Chapters 1 & 2.	
	✓	Mastering Windows 3.1, Robert Corvart.	
	✓	"What Is Microsoft Workgroup Add-On for Windows?"	
	✓	Microsoft Windows User's Guide Operating System Version 3.1.	
	✓	Concepts, Novell NetWare 4, Network Computing Products.	

Examiner
Signature

[Signature]

Date
Considered

6/12/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.